

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Patent Application of

ROBERT H. GRUBBS et al.

Application No. 09/891,144

Filed: June 25, 2001

For: SYNTHESIS OF FUNCTIONALIZED
AND UNFUNCTIONALIZED
OLEFINS VIA CROSS AND RING-
CLOSING METATHESIS

Group Art Unit: 1764

Examiner: UNKNOWN

INFORMATION DISCLOSURE
STATEMENT50 Fremont Street, 5th Floor
San Francisco, CA 94105-2230
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the
United States Postal Service, postage prepaid, in an envelope addressed
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on October 31, 2001

Commissioner for Patents
Washington, D.C. 20231

Sir:

Date: 10/31/01By: R. Fumble

Applicants submit herewith information of which applicants are aware, which
applicants believes may be material to the examination of this application and in respect of
which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

- (a) ☐ accompanies the new patent application transmittal submitted herewith. 37
CFR § 1.97(a).
- (b) ☐ is filed within three months after the filing date of the application or within
three months after the date of entry of the national stage of a PCT application
as set forth in 37 CFR § 1.491.
- (c) ☒ as far as is known to the undersigned, is filed before the mailing date of a first
Office Action on the merits.
- (d) ☐ is filed after the first office action and more than three months after the
application's filing date or PCT national stage date of entry filing but, as far as
is known to the undersigned, prior to the mailing date of either a final
rejection or a notice of allowance, whichever occurs first, and is accompanied
by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as
specified in 37 CFR § 1.97(e), as checked below.

- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and is accompanied by the fee (\$180) set forth in 37 CFR § 1.17(i)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

[If either of boxes (d) or (e) are checked above, the following "certification" under 37 CFR § 1.97(e) may need to be completed.] The undersigned certifies that:

- (f) ☐ Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- (g) ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

A concise explanation of relevance of the described above and attached information is:

- (k) ☐ not given for items
- (l) ☐ given for each listed item
- (m) ☐ given for only non-English language listed item(s) [Required]
- (n) ☒ is in the form of an English language copy of a Search Report [copy attached] from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references

While the information disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that the information referred to is "prior art" for this invention unless specifically designated as such.

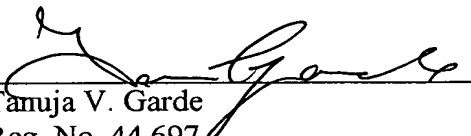
In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and

the Examiner is respectfully requested to consider the above-described and attached information.

The Commissioner is hereby authorized to charge our Deposit Account No. 03-3975 for any fees required in connection with the filing of this Information Disclosure Statement. **A duplicate copy of this Notice is enclosed for this purpose.**

Respectfully submitted,
PILLSBURY WINTHROP LLP

Dated: 10/21/01

By: 
Tanuja V. Garde
Reg. No. 44,697

Attorney for Applicants

Atty. Docket No: 020072-0278319

Application No.
09/891,144

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Applicant(s)
Robert H. Grubbs et al.

Filing Date
June 25, 2001

Group Art Unit
1764

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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EXAMINER

DATE CONSIDERED

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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